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Kristianne Serrano

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Lomis et al.

Docket No.: AOL00115

Serial No. 10/ 688,283

Art Unit: 22644

Filed: 10/16/2003

Examiner: Unknown

Title: STREAM SOURCING CONTENT DELIVERY

AUGUST 23, 2004

TRANSMITTAL FORM

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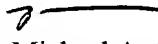
Sir:

The following documents are being transmitted:

- IDS Certification;
- Information Disclosure Statement, 1449 form
- International Search Report (5 pgs)
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. AOL00115). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,


Michael A. Glenn
Reg. No. 30,176

Customer No. 22862



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Loomis et al

Docket No.: AOL00115

Serial No. : 10/688,283

Art Unit: 2644

Filed: October 16, 2003

Examiner: unknown

Title: STREAM SOURCING CONTENT DELIVERY SYSTEM

Assistant Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97(c) together with either a:
(X) Certification under 37 CFR 1.97(e), or
() a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
() Certification under 37 CFR 1.97(e), and
() a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
() a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- (X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL00115). A copy of this sheet is enclosed for accounting purposes.
- (X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,


Michael Glenn
Attorney For Applicant
Reg. No. 30,176

Customer No. 22862



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Loomis et al .

Docket No.: AOL00115

Serial No. : 10/688, 283

Art Unit: 2644

Filed: 16 October 2003

Examiner: unknown

Title: STREAM SOURCING CONTENT DELIVERY

**CERTIFICATION FOR
INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97(e)**

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

A certification is being made for the Information Disclosure Statement accompanying this certification.

CERTIFICATION

I, the person signing below, certify

() that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
(37 CFR 1.97(e)(1))

(X) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after

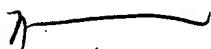
making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- supplied by the inventor(s)
- supplied by an individual designated in §1.56(c)
- in the attorney's file

the attorney who signs below on the basis on the information in the attorney's files.

Respectfully submitted,



Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 14

Complete if Known

| | |
|----------------------|----------------|
| Application Number | 10/688,283 |
| Filing Date | 10/16/2003 |
| First Named Inventor | Loomis, et al. |
| Art Unit | 2644 |
| Examiner Name | unknown |

Attorney Docket Number: AOL00115

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
| | | Number-Kind Code ² (<i>if known</i>) | | | |
| A | US- | 5,734,119 | 3/3/98 | France et al. | |
| B | US- | 5,956,491 | 9/21/99 | Marks | |
| C | US- | 6,192,340 B1 | 2/20/01 | Abecassis | |
| D | US- | 6,199,076 B1 | 3/06/01 | Logan et al. | |
| E | US- | 6,248,946 B1 | 6/19/01 | Dwek | |
| F | US- | 6,300,880 B1 | 10/9/2001 | Sitnik | |
| G | US- | 6,332,163 B1 | 12/18/01 | Bowman-Amuah | |
| H | US- | 2002/0078056 A1 | 6/20/02 | Hunt et al. | |
| I | US- | 2002/0082914 A1 | 6/27/02 | Beyda et al. | |
| J | US- | 6,438,450 B1 | 8/20/02 | DiLorenzo | |
| K | US- | 2003/0018797A1 | 1/23/03 | Dunning et al. | |
| L | US- | 2003/0126275A1 | 7/03/03 | Mungavan et al. | |
| M | US- | 2004/0064507 A1 | 4/01/04 | Sakata | |
| | US- | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>) | | | | |
| N | EP | 1 178 487 A1 | 2/06/02 | Shimada et al | | |
| O | EP | 1 187 423 A2 | 3/13/02 | Watanabe, K. | | |
| P | EP | 1 229 476 A2 | 8/07/02 | Chatani et al. | | |
| Q | WO | 02/063414 | 8/14/02 | Dietsch, K-L. | | |
| R | EP | 1 244 021 A1 | 9/25/02 | Yamamoto, K. | | |
| S | EP | 1 267 247 A2 | 12/18/02 | Du, et al. | | |

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|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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|------------------------------|---|----|---|--------------------------|---------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| | | | | Application Number | 10/688,283 |
| | | | | Filing Date | 10/16/03 |
| | | | | First Named Inventor | Loomis et al. |
| | | | | Art Unit | 2644 |
| | | | | Examiner Name | unknown |
| Sheet | 3 | of | 4 | Attorney Docket Number | AOL00115 |

| OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS | | | | |
|--|-----------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | |
| | U | HODSON, O., PERKINS, C., HARDMAN, V., "Skew detection and compensation for Internet audio application" Part vol.3, p.1687-90, 2000 IEEE international Conference on Multimedia Proceedings, USA | | T ² |
| | V | AURRECOECHEA, C., CAMPBELL, A., HAUW, L., "A Survey of QoS Architectures", Columbia University, New York | | |
| | W | CEN,S., PU, R., STAELHI, R., WALPOLE, J., "A Distributed Real-Time MPEG Video Audio Player", Dept of Computer Science and Engineering, Oregon Graduate Institute of Science and Technology | | |
| | X | MANOUSELIS,N., KARAMPILERIS, P., VARDIAMBASIS,I.O., MARAS, A., "Digital Audio Broadcasting Systems under a QoS Perspective", Telecommunications Laboratory, Dept. of Electronics & Computer Engineering, Technical University of Crete, Greece | | |
| | Y | "Helix Universal Gateway Configuration Guide", RealNetworks Technical Blueprint Series | | |
| | Z | SION, R., ELMAGARMID, A., PRABHAKAR, S., REZGUI, A., "Challenges in designing a QoS aware Media Repository (working draft)" Computer Science, Purdue University, IN | | |
| | A1 | CHEN, Z., TAN,S.-M., CAMPBELL, R., LI, Y., "Real Time Video and Audio in the World Wide Web". Dept. of Computer Science, Univ. of Illinois, Champagne - Urbana | | |
| | A2 | "Content Networking with the Helix Platform", RealNetworks White Paper Series, July 2002 | | |
| | A3 | HESS, C., Media Streaming Protocol: An Adaptive Protocol for the Delivery of Audio and Video Over the Internet", 1998, Univ. of Illinois, Champagne-Urbana | | |
| | A4 | KOSTER, R., "Design of a Multimedia Player with Advanced QoS Control", January 1997, Oregon Graduate Institute of Science and Technology | | |

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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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| | | | | First Named Inventor | Loomis et al. |
| | | | | Art Unit | 2644 |
| | | | | Examiner Name | unknown |
| Sheet | 4 | of | 4 | Attorney Docket Number | AOL00115 |

| OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS | | | | | |
|--|-----------------------|---|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| | A5 | POELLABAUER, C., SCHWAN, K., WEST, R., "Coordinated CPU and Event Scheduling for Distributed Multimedia Applications", ACM Multimedia, Oct. 2001, Ottawa, Ontario, CA | | | |
| | A6 | TAKAO, S., TAKIYOSHI, Y., WATANABE, K., KOHEI, E., "packet Synchronization Recovery Circuit" June 29, 1992, Vol.16, No.294, P. 120 | | | |
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| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

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| Substitute for form 1449/PTO | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Application Number | 10/688,283 |
| | | Filing Date | 10/16/2002 |
| | | First Named Inventor | Loomis, et al. |
| | | Art Unit | 2644 |
| | | Examiner Name | unknown |
| Sheet | 12 | of | 14 |
| | | Attorney Docket Number | |

Examiner Signature _____ **Date Considered** _____

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

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